

MINISTRY OF CORPORATE AFFAIRS

RECEIPT

G.A.R.7

SRN : R16924672

Service Request Date : 27/11/2019

Payment made into : HDFC Bank

Received From :

Name : ANKIT MANDAWEWALA
Address : 1 BRITISH INDIAN STREET
ROOM NO 110D 1ST FLOOR 1 BRITISH INDIAN STREET
KOLKATA, West Bengal
IN - 700069

Entity on whose behalf money is paid

CIN: L65921WB1986PLC040980
Name : PARAGON FINANCE LTD
Address : SIKKIM HOUSE 4TH FLOOR4/1 MIDDLETON STREET
KOLKATA, West Bengal
India - 700071

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2019	Normal	600.00
	Total	600.00

Mode of Payment: Internet Banking - HDFC Bank

Received Payment Rupees: Six Hundred Only

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar, then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices and Fees) Rules, 2014)

MINISTRY OF CORPORATE AFFAIRS**RECEIPT****G.A.R.7**

SRN : H33680331

Service Request Date : 18/12/2018

Payment made into : HDFC Bank

Received From :

Name : ANKIT MANDAWEWALA
Address : 1 BRITISH INDIAN STREET
ROOM NO 110D 1ST FLOOR 1 BRITISH INDIAN STREET
KOLKATA, West Bengal
IN - 700069

Entity on whose behalf money is paid

CIN: L65921WB1986PLC040980
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Address : SIKKIM HOUSE 4TH FLOOR4/1 MIDDLETON STREET

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India - 700071

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2018	Normal	600.00
Total		600.00

Mode of Payment: Internet Banking - HDFC Bank**Received Payment Rupees:** Six Hundred Only

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65921WB1986PLC040980

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCP5872H

(ii) (a) Name of the company

PARAGON FINANCE LTD

(b) Registered office address

SIKKIM HOUSE 4TH FLOOR4/1 MIDDLETON STREET
KOLKATA
West Bengal
700071
India

(c) *e-mail ID of the company

paragoncal@gmail.com

(d) *Telephone number with STD code

03330588101

(e) Website

www.pragonfinanceltd.com

(iii) Date of Incorporation

21/07/1986

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BOMBAY	1

(b) CIN of the Registrar and Transfer Agent

U74140WB1994PTC062636

Pre-fill

Name of the Registrar and Transfer Agent

NICHE TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

3A, Auckland Place
7th Floor, Room No. 7A & 7B,

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(a) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	6,250,000	4,250,000	4,250,000	4,250,000
Total amount of equity shares (in Rupees)	62,500,000	42,500,000	42,500,000	42,500,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
EQUITY SHARES				
Number of equity shares	6,250,000	4,250,000	4,250,000	4,250,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	62,500,000	42,500,000	42,500,000	42,500,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares	Total nominal amount	Total paid-up amount	Total premium
Equity shares				
At the beginning of the year	4,250,000	42,500,000	42,500,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	4,250,000	42,500,000	42,500,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

... Shares forfeited

iii. Reduction of sh

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		26/09/2017	
Date of registration of transfer (Date Month Year)		11/08/2017	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	25	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	S0255		

Transferor's Name	DEY		SOMA
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	DEY	SUDAN	MADHU
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	25	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor

Transferor's Name	DEY	KUMAR	ASHOK
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	DEY	SUDAN	MADHU
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	25	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor

Transferor's Name	DEY		DIPALI
	Surname	middle name	first name
Ledger Folio of Transferee	001611		
Transferee's Name	DEY	SUDAN	MADHU
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/01/2018
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
------------------	---------------	---

Number of Shares/ Debentures/ Units Transferred	500	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	S0259
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Transferor's Name	BARUA		SUDEB
	Surname	middle name	first name

Ledger Folio of Transferee	001612
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Transferee's Name	SHAW	KUMAR	UTTAM
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	
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Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
------------------	--	---

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
---	--	---	--

Ledger Folio of Transferor	
----------------------------	--

Transferor's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee			
	<input style="width: 95%;" type="text"/>		
Transferee's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			3,798,640
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			13,522,207
Deposit			0
Total			17,320,847

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

10.	Others AOP	315,700	7.43	0	
	Total	2,469,507	58.11	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	727,767	17.12	0	
	(ii) Non-resident Indian (NRI)	6,400	0.15	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,041,562	24.51	0	
10.	Others CLEARING MEMBER	4,764	0.11	0	
	Total	1,780,493	41.89	0	0

Total number of shareholders (other than promoters)

1,032

Total number of shareholders (Promoters+Public/Other than promoters)

1,042

II. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	876	1,032
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	2	1	2	4.36	4.2
B. Non-Promoter	0	5	1	4	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	4	1	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	2	6	4.36	4.2

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANJAY KUMAR GUPTA	00213467	Whole-time director	185,100	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHREYA GUPTA	00333208	Director	178,400	
ALOKE KUMAR GUPTA	00825331	Director	0	
ANNY JAIN	06850978	Director	0	
SUVOBRATA GANGUL	00003702	Director	0	
SANJAY GOENKA	01057527	Director	0	
RAVI AGARWALLA	01704137	Director	0	
PARUL RAJGARIA	AMQPR5985D	Company Secretar	0	
PARUL RAJGARIA	06948926	Director	0	
PARUL RAJGARIA	AMQPR5985D	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAVEENA GOEL	BPWPG4709L	CFO	26/09/2017	Cessation
PARUL RAJGARIA	AMQPR5985D	CFO	26/09/2017	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEET	26/09/2017	947	145	63.24

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/04/2017	8	8	100
2	30/05/2017	8	8	100
3	14/08/2017	8	8	100
4	26/09/2017	8	8	100
5	14/11/2017	8	8	100
6	31/01/2018	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/05/2017	3	3	100
2	AUDIT COMM	14/08/2017	3	3	100
3	AUDIT COMM	14/11/2017	3	3	100
4	AUDIT COMM	31/01/2018	3	3	100
5	NOMINATION	30/05/2017	3	3	100
6	NOMINATION	26/09/2017	3	3	100
7	STAKEHOLDER	30/05/2017	2	2	100
8	STAKEHOLDER	14/11/2017	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/09/2018
								(Y/N/NA)
1	SANJAY KUM	6	6	100	4	4	100	Yes
2	SHREYA GUF	6	6	100	0	0	0	Yes

3	ALOKE KUMAR	6	6	100	0	0	0	Yes
4	ANNY JAIN	6	6	100	8	8	100	Yes
5	SUVOBRATA	6	6	100	0	0	0	Yes
6	SANJAY GOEL	6	6	100	2	2	100	Yes
7	RAVI AGARWAL	6	6	100	4	4	100	Yes
8	PARUL RAJGARIA	6	6	100	4	4	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SANJAY KUMAR GOEL	WHOLE TIME DIRECTOR	1,500,000	0	0	0	1,500,000
	Total		1,500,000	0	0	0	1,500,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAVEENA GOEL	CFO	161,000	0	0	0	161,000
2	PARUL RAJGARIA	COMPANY SECRETARY	675,000	0	0	0	675,000
	Total		836,000	0	0	0	836,000

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ALOKE KUMAR GOEL	DIRECTOR	1,500,000	0	0	0	1,500,000
	Total		1,500,000	0	0	0	1,500,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

Yes

PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

 Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

RASHMI JAISWAL

Whether associate or fellow

 Associate Fellow

Certificate of practice number

16091

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Digitally signed by
SANJAY
KUMAR
GUPTA
Date: 2018.12.11
14:48:51 +05'30'

DIN of the director

00213467

To be digitally signed by

Digitally signed by
PARUL
RAJGARIA
Date: 2018.12.17
10:18:29 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

- Attach
- Attach
- Attach
- Attach

SHAREHOLDER LIST_paragon.pdf
MGT-8_paragon.pdf

Remove attachment

Modify Check Form Prescrutiny Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company